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Attorney's Docket No.: 4688.P024

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Kikinis

Application No. 09/875,460

Filed: 06/05/2001

For: REMOTE CONTROL OF  
PROGRAM SCHEDULING

Examiner: Not yet assigned

Art Unit: Not yet assigned

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231-0001

on

Date

1/18/02  
Judy L. Steinkraus

Commissioner For Patents  
Washington, D.C. 20231-0001

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that any search has been made except for the ones connected with the Search Reports for Application Numbers PCT/US01/12342; PCT/US01/13897; PCT/US01/15405; PCT/US01/41664; PCT/US01/05056; and PCT/US01/11666 (copies enclosed)

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and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

\_\_\_\_\_ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: January 18, 2002

  
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Judy L. Steinkraus

Serial/Patent No.: 09/875,460

Filing/Issue Date: 6/5/2001

Client: SurfTV Corporation

Title: REMOTE CONTROL OF PROGRAM SCHEDULING

BSTZ File No.: 4688.P024

Atty/Secty Initials: JPW:jxs

Date Mailed: 1/18/02

Docket Due Date: n/a

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (\_\_\_\_ pgs.)
- ☐ Appeal Brief (\_\_\_\_ pgs.) (in triplicate)
- ☐ Application - Utility (\_\_\_\_ pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)
- ☐ Application - Design (\_\_\_\_ pgs.)
- ☐ Application - PCT (\_\_\_\_ pgs.)
- ☐ Application - Provisional (\_\_\_\_ pgs.)
- ☐ Assignment and Cover Sheet
- ☒ Certificate of Mailing
- ☐ Declaration & POA (\_\_\_\_ pgs.)
- ☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (\_\_\_\_ pgs.)
- ☐ Drawings: \_\_\_\_ # of sheets includes \_\_\_\_ figures

- ☐ Express Mail No.: \_\_\_\_\_
- ☐ Month(s) Extension of Time \_\_\_\_\_
- ☒ Information Disclosure Statement & PTO 1402 (\_\_\_\_ pgs.)
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for \_\_\_\_\_
- ☒ Postcard
- ☐ Power of Attorney (\_\_\_\_ pgs.)
- ☐ Preliminary Amendment (\_\_\_\_ pgs.)
- ☐ Reply Brief (\_\_\_\_ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☐ Transmittal Letter, in duplicate
- ☐ Fee Transmittal, in duplicate

Other: copies of 19 references and 6 search reports

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)			Attorney Docket No.: 4688.P024		Application Number: 09/875,460	
			First Named Inventor: Kikinis			
			Filing Date: 06/05/2001			

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U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
		5,414,773		Handelman	05-09-1995	
		5,479,268		Young et al.	12-26-1995	
		5,532,754		Young et al.	07-02-1996	
		5,737,028		Bertram et al.	04-07-1998	
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		6,205,485	B1	Kikinis	03-20-2001	
		6,233,389	B1	Barton et al.	05-15-2001	
		6,271,831	B1	Escobosa et al.	08-07-2001	

### FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		WO	00/01149	A1	NDS Limited	01-06-2000		
		JP	410093880	A	KOMI, et al.	04-10-1998		n

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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